

18 March 2019

DR Julie Reeve
School of Clinical Sciences
Faculty of Health and Environmental Studies
AUT University
Auckland 0627

Dear DR Reeve

Re: Ethics ref:	18/NTB/191
Study title:	RACer-PAP. Investigating the utility and acceptability of a new non invasive ventilatory assist device during exercise in a population of normal healthy adults.

I am pleased to advise that this application has been approved by the Northern B Health and Disability Ethics Committee. This decision was made through the HDEC-Full Review pathway.

Conditions of HDEC approval

HDEC approval for this study is subject to the following conditions being met prior to the commencement of the study in New Zealand. It is your responsibility, and that of the study's sponsor, to ensure that these conditions are met. No further review by the Northern B Health and Disability Ethics Committee is required.

Standard conditions:

1. Before the study commences at *any* locality in New Zealand, all relevant regulatory approvals must be obtained.
2. Before the study commences at *any* locality in New Zealand, it must be registered in a clinical trials registry. This should be a WHO-approved registry (such as the Australia New Zealand Clinical Trials Registry, www.anzctr.org.au) or <https://clinicaltrials.gov/>.
3. Before the study commences at *each given* locality in New Zealand, it must be authorised by that locality in Online Forms. Locality authorisation confirms that the locality is suitable for the safe and effective conduct of the study, and that local research governance issues have been addressed.

Non-standard conditions:

4. Please provide a trial-specific insurance certificate through the amendment pathway before recruitment commences.

Non-standard conditions must be completed before commencing your study, however, they do not need to be submitted to or reviewed by HDEC.

If you would like an acknowledgement of completion of your non-standard conditions you may submit a post approval form amendment through Online Forms. Please clearly identify in the amendment form that the changes relate to non-standard conditions and ensure that supporting documents (if requested) are tracked/highlighted with changes.

For information on non-standard conditions please see section 128 and 129 of the *Standard Operating Procedures for Health and Disability Ethics Committees* (available on www.ethics.health.govt.nz)

After HDEC review

Please refer to the *Standard Operating Procedures for Health and Disability Ethics Committees* (available on www.ethics.health.govt.nz) for HDEC requirements relating to amendments and other post-approval processes.

Your next progress report is due by 17 March 2020.

Participant access to ACC

This clinical trial is to be conducted principally for the benefit of the manufacturer or distributor of the medicine or item being trialled. Section 32 of the Accident Compensation Act 2001 provides that participants injured as a result of treatment received as part of this trial will **not** be eligible for publicly-funded compensation through the Accident Compensation Corporation (ACC).

Please don't hesitate to contact the HDEC secretariat for further information. We wish you all the best for your study.

Yours sincerely,



Mrs Kate O'Connor
Chairperson
Northern B Health and Disability Ethics Committee

Encl: appendix A: documents submitted
appendix B: statement of compliance and list of members

Appendix A
Documents submitted

<i>Document</i>	<i>Version</i>	<i>Date</i>
Evidence of scientific review: Protocol peer review	V1	16 October 2018
Poster Advertisement for Participants	V1	03 July 2018
CV for CI	V1	03 July 2018
Protocol	V1	10 July 2018
PIS/CF	V1	10 July 2018
Survey/questionnaire: RACer-PAP questionnaire at rest	V1	10 July 2018
Survey/questionnaire: RACer-PAP during exercise	V1	10 July 2018
CVs for other Investigators: David White CV	V1	11 July 2018
Application	n/a	16 October 2018
Response to Request for Further Information	n/a	15 March 2019
Evidence of sponsor insurance	n/a	15 March 2019
Evidence of sponsor insurance	n/a	15 March 2019
Other (No Description Entered)	n/a	15 March 2019
PIS/CF	n/a	15 March 2019
PIS/CF	n/a	15 March 2019
Survey/questionnaire	n/a	15 March 2019
Survey/questionnaire	n/a	15 March 2019
Other (No Description Entered)	n/a	15 March 2019
Other (No Description Entered)	n/a	15 March 2019
Other (No Description Entered)	n/a	15 March 2019
Other (No Description Entered)	n/a	15 March 2019
Protocol	n/a	15 March 2019
Protocol	n/a	15 March 2019
Covering Letter	n/a	15 March 2019
Response to Request for Further Information	n/a	15 March 2019

Appendix B Statement of compliance and list of members

Statement of compliance

The Northern B Health and Disability Ethics Committee:

- is constituted in accordance with its Terms of Reference
- operates in accordance with the *Standard Operating Procedures for Health and Disability Ethics Committees*, and with the principles of international good clinical practice (GCP)
- is approved by the Health Research Council of New Zealand's Ethics Committee for the purposes of section 25(1)(c) of the Health Research Council Act 1990
- is registered (number 00008715) with the US Department of Health and Human Services' Office for Human Research Protection (OHRP).

List of members

<i>Name</i>	<i>Category</i>	<i>Appointed</i>	<i>Term Expires</i>
Mrs Maliaga Erick	Lay (consumer/community perspectives)	01/07/2015	01/07/2018
Mr John Hancock	Lay (the law)	14/12/2015	14/12/2018
Dr Nora Lynch	Non-lay (health/disability service provision)	24/07/2015	24/07/2018
Miss Tangihaere Macfarlane	Lay (consumer/community perspectives)	20/05/2017	20/05/2020
Mrs Kate O'Connor	Lay (ethical/moral reasoning)	14/12/2015	14/12/2018
Mrs Stephanie Pollard	Non-lay (intervention studies)	01/07/2015	01/07/2018
Mrs Leesa Russell	Non-lay (intervention studies), Non-lay (observational studies)	14/12/2015	14/12/2018
Mrs Jane Wylie	Non-lay (intervention studies)	20/05/2017	20/05/2020

Unless members resign, vacate or are removed from their office, every member of HDEC shall continue in office until their successor comes into office (HDEC Terms of Reference)

<http://www.ethics.health.govt.nz>